# Strategies for Reducing Loss to Follow-Up in EHDI Programs

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California Department of Health Care Services, Newborn Hearing Screening Program

### California Program Statistics



2008 Program Data

- Total births: 552,618
- Total screened prior to hospital discharge: 511,830

   93% of California births

#### California Program Hospital Statistics

- Refer rate: 2.0%
  Miss rate: 0.2%
  Moivo roto: 0.1%
- Waive rate: 0.1%



#### California Program Statistics

- Identified with hearing loss: 832

   Incidence 1.6/1000 screened
   Identified by 3 months of age: 553 (66%)
- Enrolled in Early Start: 718 (86%)
   By 6 months of age: 494 (69%)

## CA Lost to Follow Up Rates

- Did not pass final screen=14.5%
- Left hospital needing outpatient followup=3.9%



#### **Geographic Service Areas**



#### HCC Responsibilities

- Hospital Certification
- Quality Assurance
   Monitoring
- Infant Tracking and Monitoring



## Hearing Coordination Center Staff



- Director
- Audiologist
- Registered Nurse
- Clerical Support
- Parent



\*ABR required for NICU

#### Hospital Responsibilities

- Hospitals that have been certified by the HCC report individual results on babies that have:
  - Referred
  - Missed
  - Waived
  - Expired
  - Transferred
  - Been determined not medically indicated by a physician



#### Hospital Responsibilities

- For Infants who refer, the Hospital must:
  - Schedule an appointment for outpatient screening
  - Give family appointment info at discharge
  - Report the appointment to the HCC with inpatient screening results
  - Identify PCP

Get second contact

## Hospital Responsibilities

• For Infants who are missed, the Hospital must:

- Contact the family and schedule the follow up appointment
- Report the appointment to the HCC
- Identify PCP
- Get second contact



#### NEWBORN HEARING SCREENING Infant Reporting Form

I NPATIENT S CREEN C OMPLETED

IP Screening	RIGHT EAR		LEFT EAR	
DATE or Sciencing				
TT PE or Scattering (circle one)	ABR DPOAE TEOAE	ABR DPOAE TEOAE	ABR DPOAE TEOAE	ABR DPOAE TEOAE
RESULT Circle one/	PASS REFER	PASS REFER	PASS REFER	PASS REFER

#### INPATIENT SCREEN NOT DONE (fax completed form to HC¢

Transferred out to: \_\_\_\_\_\_ Hospital on ( date): \_\_\_\_\_

Missed; discharged without screer(complete Follow) section helow)

🔲 Waived (Face Sheet not required) 🗌 NHSP Brochure given to parent

Expired or physician determined screening not medically indicated (Face Sheet not inequal)

Baby has atresia Bilateral DUnilateral: right leftc(rcle one) (complete FolleWp section below)

#### FOLLOW - UPFOR REFERS /MISSED (fax completed form to HCC)

0	Parent/LegalGuardian information on face sh	eet verified/updat	ed	
	Primary Language(Circle One) English	Spanish	Other:	
	] Second contact informatio(relative or friend)	verified/updated	on face sheet or below	
	Contact Name:	Phor	ne:	_
	Address:			
	⊂ity/Zip:			
	Primary Language(Circle One) English	Spanish	Other:	
	] Print Infant's Full/Legal Name:			
0	] NHSP Brochure givento parent (Circle One)R	efer Refer	toDX	
[	] Follow-Up Appointment made and written on	Parent brochure:		
[		DX EVALUATION FOR	NICUPATIENTSOR INFANTS W	THATRESIA
	DATE: TIME:		CCS Refe County:	erral Made
l	PROVIDER			
•••	] PCP who will see the Infant after dischargeN a			
	P	hone:		

Completed form fax**adit b bospit al face sheet**your Hearing Coordination Center at (XXX) XXXXXX.

#### HCC Role Following Outpatient Refer Result

- Refer baby to the Title V Children with Special Health Care Needs Program (CCS Program) if not done by OP screener
- Notify diagnostic provider, PCP, and family when an authorization has been issued.
- Obtain appointment information from diagnostic provider
- Contact diagnostic provider if results are not received within 14 days

#### HCC Role After Hearing Loss is Identified

- Assure referral to the Early Start Program (CA Early Intervention Birth to 3 Program)
- Contact the family 1 week after diagnosis



#### HCC Role After Hearing Loss is Identified

- Contact family 2 months after diagnosis
  - Assure Early Start Services are being provided
  - Provide parent support
  - Assess the need for community referrals
  - Assure linked with audiology and medical services
- Contact family 6 months after diagnosis
  - Confirm services
  - Offer support
  - Make necessary community referrals
  - Close the case

#### California Challenges



- Not enough qualified pediatric audiologists
- Diagnostic providers not scheduling appointments; waiting for families to call
- Parents not scheduling or no showing appointments
- Providers not submitting results
- CCS Program delaying or not
   issuing diagnostic authorizations

#### HCC Role in Addressing California's Challenges

- Encourage providers to contact families for appointments
- Assist the family in getting the appointment
- Contact family if appointment is cancelled or they no show
- Send letters to the PCP with child's screening status and enlist the PCPs help if necessary

#### HCC Role in Addressing California's Challenges

- Referral to the local EPSDT program (CHDP in CA)
  - For families that no show two appointments or can't be reached after three attempts
- Contact providers for missing results
  - Elevate the problem to the State if there is no resolution
- Establish relationships with the CCS programs for on-going communication regarding authorizations

   Elevate any problems to the State

#### National Initiative for Children's Healthcare Quality (NICHQ)



- Letter to families needing OP follow-up introducing HCC
- Schedule two diagnostic appointments two weeks apart
- 3-way calls to schedule appointments

#### National Initiative for Children's Healthcare Quality (NICHQ)

- Liaison in diagnostic provider office for appointment scheduling
- Hospitals submit reporting forms on specific day of week
- Return incomplete Infant Reporting Forms

# Tracking a Baby with the CA Process

#### Tracking and Monitoring Manual

#### Five Tracking Categories

- Outpatient Screen Required
- Diagnostic Evaluation Required
- Hearing Loss Identified
- Infant Transferred between hospitals

Infant Resides outside of CA



#### Tracking and Monitoring Manual

- Provides HCC a framework to follow cases to their conclusion
- Guidance on number and frequency of contacts with providers and families
- Letter content
- When to elevate to the State and when to close a case
- Currently updating to include DMS activities

# Tracking and Monitoring Manual

• The manual is available on our website:

http://www.dhcs.ca.gov/services/nhsp

#### Conclusions

- Procedures implemented regionally in CA can transfer to other state's programs
- The CA Tracking and Monitoring Manual can be adapted to suit any size program
- Steps can be implemented within any program to put safety nets in to place.





#### Questions?

